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REV. 1/03
For Other Than A Small Entity

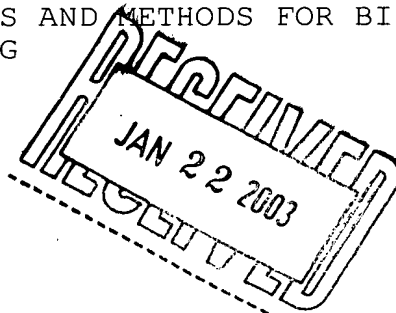
Docket No. CF-40

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned
Group Art Unit : 2164
Applicants : Andrew C. Gilbert et al.
Application No.: 09/981,565 Confirmation No.: 7706
Filed : October 17, 2001
For : SYSTEMS AND METHODS FOR BID/OFFER SPREAD TRADING

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TRANSMITTAL LETTER

Sir:

Transmitted herewith: [] a Preliminary Amendment;
[X] a Submission of Executed Declaration and Power of Attorney; [X] an Executed Declaration and Power of Attorney along with a copy of the specification as filed; [] a Reply to Office Action; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[] A fee for additional claims is required.

The additional fee has been calculated as shown below:

| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | FEES |
|---|---|---|------------------|------|-------------|
| TOTAL CLAIMS | - | 20 * | = | 0 X | \$18 = \$0 |
| INDEPENDENT CLAIMS | - | 3 ** | = | 0 X | \$84 = \$0 |
| FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM | | | | + | \$280 = \$0 |

* If less than 20, insert 20. TOTAL = \$0
** If less than 3, insert 3.

- ☐ A check in the amount of \$_____ is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☐ The following extension is applicable to the Response filed herewith; ☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.17(a)(1); ☐ \$410.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.17(a)(2); ☐ \$930.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.17(a)(3); ☐ \$1,450.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.17(a)(4); ☐ \$1,970.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.17(a)(5).
- ☐ A check in the amount of ☐ \$110.00; ☐ \$410.00; ☐ \$930.00; ☐ \$1,450.00; ☐ \$1,970; in payment of the extension fee is transmitted herewith.

- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. \$ 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$110.00; ☐ \$410.00; ☐ \$930.00; ☐ \$1,450.00; ☐ \$1,970; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



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